

# Exhibit B



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

August 13, 2021

Mr. Jason Foster Founder & President  
Empower Oversight  
Whistleblowers & Research 2615 Columbia Pike, #445  
Arlington, VA 22204

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **21-02531-FOIA (1 of 8)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated August 12, 2021, and received in this office on August 13, 2021, for records regarding **all records relating to communications from May of 2017 through December of 2020 between William Hinman and any personnel from Simpson Thacher, including calendar entries, notes, or emails between Mr. Hinman and any email address from the domain "@stblaw.com.**

Your request has been assigned tracking number 21-02531-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](https://www.archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

In the interim, if you have any questions about your request, you may contact this office by calling (202) 551-7900, or sending an e-mail to [foiapa@sec.gov](mailto:foiapa@sec.gov). Please refer to your tracking number when contacting us.

For additional information, please visit our website at [www.sec.gov](https://www.sec.gov) and follow the FOIA link at the bottom.

Sincerely,

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Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **21-02532-FOIA (2 of 8)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated August 12, 2021, and received in this office on August 13, 2021, for records regarding **all records relating to communications from May of 2017 through December of 2020 between William Hinman and any personnel from the Enterprise Ethereum Alliance, including calendar entries, notes or emails between Mr. Hinman and any email address from the domain "@entethalliance.org".**

Your request has been assigned tracking number 21-02532-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

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Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **21-02533-FOIA (3 of 8)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated August 12, 2021, and received in this office on August 13, 2021, for records regarding **all records relating to communications, including calendar entries, notes or emails between Mr. Hinman and any personnel in the SEC's Office of the Ethics Counsel regarding Mr. Hinman's continued payments from Simpson Thacher while employed at SEC, his potential recusals or conflicts related to his prior or future employment at Simpson Thacher, as well as his discussions and negotiations with Simpson Thacher regarding rejoining the firm.**

Your request has been assigned tracking number 21-02533-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](https://www.archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

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Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **21-02535-FOIA (5 of 8)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated August 12, 2021, and received in this office on August 13, 2021, for records regarding **all records relating to communications from May of 2017 through January of 2021 between Marc Berger and any personnel from the Enterprise Ethereum Alliance, including calendar entries, notes or emails between Mr. Berger and any email address from the domain "@entethalliance.org"**.

Your request has been assigned tracking number 21-02535-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

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Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **21-02535-FOIA (5 of 8)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated August 12, 2021, and received in this office on August 13, 2021, for records regarding **all records relating to communications from May of 2017 through January of 2021 between Marc Berger and any personnel from the Enterprise Ethereum Alliance, including calendar entries, notes or emails between Mr. Berger and any email address from the domain "@entethalliance.org"**.

Your request has been assigned tracking number 21-02535-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](https://www.archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

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Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **21-02536-FOIA (6 of 8)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated August 12, 2021, and received in this office on August 13, 2021, for records regarding **all records relating to communications, including calendar entries, notes, or emails between Mr. Berger and any personnel in the SEC's Office of the Ethics Counsel, regarding Mr. Berger's discussions and negotiations with Simpson Thacher, including all communications regarding potential recusals or conflicts related to his potential employment with Simpson Thacher;**.

Your request has been assigned tracking number 21-02536-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](https://www.archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

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Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **21-02537-FOIA (7 of 8)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated August 12, 2021, and received in this office on August 13, 2021, for records regarding **all records relating to communication from May of 2017 through December of 2020 between Jay Clayton and personnel from One River Asset Management, including calendar entries, notes or emails between Mr. Clayton and any email address from the domain "@oneriveram.com"**.

Your request has been assigned tracking number 21-02537-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](http://Archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

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Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **21-02538-FOIA (8 of 8)**

Dear Mr. Foster:

This letter is an acknowledgment of your FOIA request dated August 12, 2021, and received in this office on August 13, 2021, for records regarding **all records of communications, including calendar entries, notes or emails between Mr. Clayton and personnel in the SEC's Office of the Ethics Counsel regarding Mr. Clayton's discussions and negotiations with One River Asset Management, including all communications regarding potential recusals or conflicts related to his potential employment with One River Asset Management.**

Your request has been assigned tracking number 21-02538-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at <https://www.sec.gov/oso/contact/foia-contact.html>. OGIS can be reached at 1-877-684-6448 or [Archives.gov](https://www.archives.gov) or via email at [ogis@nara.gov](mailto:ogis@nara.gov).

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