

**From:** (b)(6) OGC)  
**Sent:** Thu, 29 Apr 2021 22:00:03 +0000  
**To:** (b)(6) (OGC)  
**Subject:** FW: VIEWS 04890714 - Grassley question

Got a minute for a quick call?

Thanks,

(b)(6)  
Deputy Chief Counsel – FOIA/PA Appeals/Litigation  
Information and Administrative Law Group (024A)  
Office of General Counsel  
U.S. Dept. of Veterans Affairs  
(Office) (b)(6)  
(b)(6) @VA.gov

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**From:** (b)(6) @va.gov>  
**Sent:** Thursday, April 29, 2021 4:38 PM  
**To:** (b)(6) (OGC) (b)(6) @va.gov>  
**Subject:** FW: VIEWS 04890714 - Grassley question

H (b)(6)

I am reaching out to you about the comment (pasted below) that you inserted for the VA's response to question 5:

(b)(5)

(b)(5)

---

**From:** Hogan, Michael R. (OGC) (b)(6)@va.gov>  
**Sent:** Thursday, April 22, 2021 3:55 PM  
**To:** Billups, Angela (b)(6)@va.gov>; Christy, Phillip (SES) (b)(6)@va.gov>  
**Cc:** (b)(6)@va.gov>  
**Subject:** RE: VIEWS 04890714 - Grassley question

Angela/Phil,

Bob Fleck provided the following:

(b)(5)

Mike  
MICHAEL R. HOGAN  
Deputy General Counsel, General Law  
Office of General Counsel  
Department of Veterans Affairs  
810 Vermont Ave, NW  
Washington, DC 20420  
(b)(6) (Direct)

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**Sent:** Thursday, April 22, 2021 3:49 PM  
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**Subject:** RE: VIEWS 04890714 - Grassley question

Hello, while I would like to assist, I am not an SME in this area. I also need to get a good summary of the ask related to OGC interpretation of the question from Senator Grassley.

**Question 5. What steps does the VA take to protect retail investors by safeguarding market sensitive information regarding potential enforcement announcements related to publicly-traded companies?**

(b)(5)



Regards,  
Angela Billups, Ph.D.  
Executive Director  
Office of Acquisition and Logistics  
Veterans Affairs  
810 Vermont Avenue, NW  
Washington, DC 20420



Office of Acquisition, Logistics, and Construction

*"We will ACT!"*

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**From:** Christy, Phillip (SES) (b)(6)@va.gov>  
**Sent:** Thursday, April 22, 2021 2:27 PM  
**To:** Billups, Angela (b)(6)@va.gov>  
**Cc:** Hogan, Michael R. (OGC) (b)(6)@va.gov>

**Subject:** FW: VIEWS 04890714 - Grassley question  
**Importance:** High

Angela—this has boomeranged back to us (see below).

(b)(5)

Phil

Phillip W. Christy *EJD, CMRP, CFCM, PMP, FACHE*  
Deputy Principal Executive Director  
Office of Acquisition, Logistics & Construction  
U.S. Department of Veterans Affairs  
810 Vermont Ave NW, Washington, DC 20571

(b)(6)@va.gov

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**To:** Christy, Phillip (SES) (b)(6)@va.gov  
**Cc:** (b)(6)@va.gov  
**Subject:** FW: VIEWS 04890714 - Grassley question  
**Importance:** High

Hi Sir,

Please see the below question (b)(6) received from ExecSec, referred by OGC. They are looking for a SME's name from OALC. However, we have never seen anything like this before. I don't think OPAL is the right SME. Should we refer (b)(6) to OAL, or back to OGC?

Thanks for any insight you can provide.

v/r,

(b)(6)

**Senior Correspondence Analyst**  
**Office of Procurement, Acquisition and Logistics**  
**Front Office Operations (003B)**  
**Department of Veterans Affairs**  
**810 Vermont Avenue, NW**  
**Room 727R**  
**Washington, DC 20420**  
**Office:** (b)(6)  
**Mobile:** (b)(6)  
**Email:** (b)(6)@va.gov



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**From:** (b)(6)@va.gov>  
**Sent:** Thursday, April 22, 2021 1:33 PM  
**To:** (b)(6)@va.gov>  
**Subject:** VIEWS 04890714 - Grassley question  
**Importance:** High

Hi (b)(6)

Would you please scroll to the bottom of the email and advise if OPAL would be the one to provide the answer. Per (b)(6) in EXECSEC, she just needs an OALC SME to answer the question, and (b)(5) (b)(5) Also, per (b)(6) this is a confidential matter and please limit access to the information about the item to only people that need to know. Thanks as always!

(b)(6)

---

**From:** (b)(6)@va.gov>  
**Sent:** Thursday, April 22, 2021 1:21 PM  
**To:** (b)(6)@va.gov>  
**Subject:** RE: Grassley question

Wonderful! The VIEWS number is 4890714. Please note this is a close hold (confidential matter) involving a VBA employee. Please limit access to the information about the item to only people that need to know. This is one of 12 -16 questions asked by Senator Grassley.

---

**From:** (b)(6)@va.gov>  
**Sent:** Thursday, April 22, 2021 1:17 PM  
**To:** (b)(6)@va.gov>  
**Subject:** RE: Grassley question

Hi (b)(6)

Yes, I can send this to a SME to answer. What is the VIEWS number?

Regards,

(b)(6)

---

**From:** (b)(6)@va.gov>  
**Sent:** Thursday, April 22, 2021 1:14 PM  
**To:** (b)(6)@va.gov>  
**Subject:** FW: Grassley question  
**Importance:** High

Hi (b)(6)

I talked to (b)(6) briefly yesterday, so I know she wasn't feeling well. I sent this to her yesterday and I was wondering if you could help me since she is out of the office today. All I need is for a OALC SME to answer this question below. (b)(5)

If you can get it to me today, that would be outstanding.

(b)(6)

---

**From:** (b)(6)  
**Sent:** Wednesday, April 21, 2021 8:53 AM  
**To:** (b)(6)@va.gov>  
**Subject:** Grassley question

**Question 5. What steps does the VA take to protect retail investors by safeguarding market sensitive information regarding potential enforcement announcements related to publicly-traded companies?**

VA Response: PENDING

(b)(6)  
Executive Writer  
Office of the Executive Secretary  
Department of Veterans Affairs  
810 Vermont Avenue NW  
(b)(6)

**From:** (b)(6)  
**Sent:** Mon, 3 May 2021 16:34:03 +0000  
**To:** Parise, Ruthann  
**Cc:** (b)(6) McVicker, Carrie A.  
**Subject:** FW: Grassley letter  
**Attachments:** VIEWS 4890714 - Transmittal Letter.docx, 4890714 FINAL ROUGH DRAFT of Enclosure\_ v.4 42920213 4272021.docx  
**Importance:** High

Hi Ruthann:

Attached is the proposed transmittal letter and the rough draft of the enclosure (you provided last week). (b)(5)

(b)(5)

Let me know if you have questions.

(b)(6)

**From:** McVicker, Carrie A.  
**Sent:** Mon, 3 May 2021 16:51:08 +0000  
**To:** Farrisee, Gina S.  
**Subject:** FW: Grassley letter  
**Attachments:** VIEWS 4890714 - Transmittal Letter.docx, 4890714 FINAL ROUGH DRAFT of Enclosure\_v.4 42920213 4272021.docx  
**Importance:** High

Gina – here is the latest we can use for tomorrow. Below is an update on where we are.

V/R\$

Carrie A. McVicker  
The Executive Secretary  
Office of the Secretary  
Department of Veterans Affairs  
(b)(6) @va.gov

---

**From:** (b)(6) @va.gov>  
**Sent:** Monday, May 3, 2021 12:29 PM  
**To:** McVicker, Carrie A. <(b)(6) @va.gov>  
**Cc:** (b)(6) @va.gov>  
**Subject:** RE: Grassley letter  
**Importance:** High

Carrie:

The Grassley transmittal letter and the latest version of the enclosure are attached. The enclosure is unchanged from the one I provided last week. (b)(5)

(b)(5)

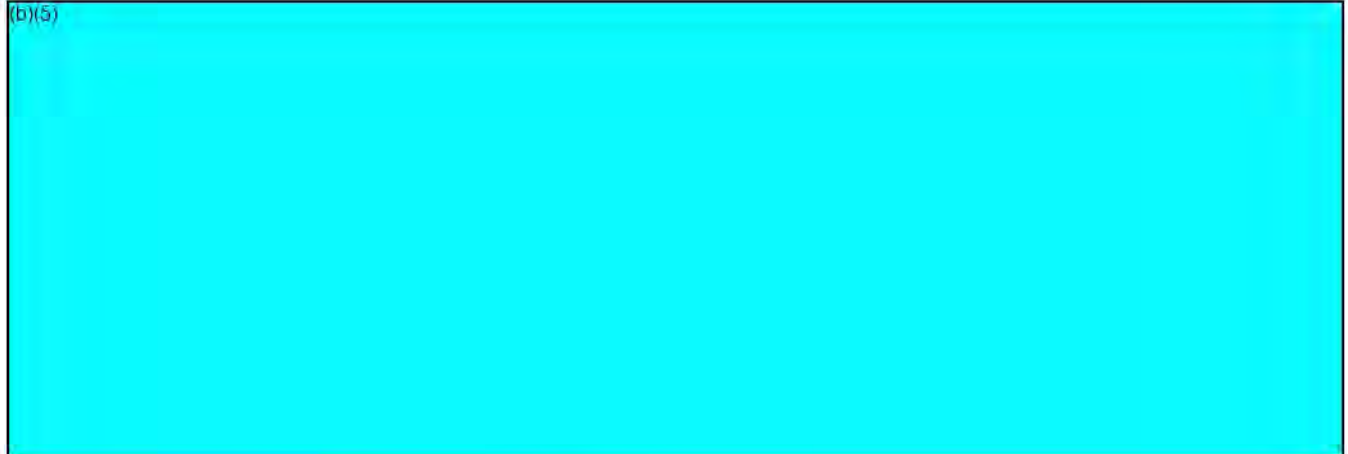
**Question 5: What steps does the VA take to protect retail investors by safeguarding market sensitive information regarding potential enforcement announcements related to publicly-traded companies?**

**VA Response** (b)(5)

(b)(5)



(b)(5)



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**From:** McVicker, Carrie A. (b)(6)@va.gov>  
**Sent:** Monday, May 3, 2021 11:54 AM  
**To:** (b)(6)@va.gov>  
**Cc:** (b)(6)@va.gov>  
**Subject:** Grassley letter

Good afternoon (b)(6)

Just a reminder to send me the latest version of the Grassley response later this afternoon.

Thanks!

Carrie A. McVicker  
The Executive Secretary  
Office of the Secretary  
Department of Veterans Affairs

(b)(6)@va.gov

**From:** (b)(6) (OGC)  
**Sent:** Mon, 3 May 2021 18:11:03 +0000  
**To:** (b)(6)  
**Subject:** RE: VIEWS 04890714 - Grassley question

(b)(6)

(b)(5)

Thanks,

(b)(6)

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**From:** (b)(6)@va.gov>  
**Sent:** Monday, May 3, 2021 12:16 PM  
**To:** (b)(6) OGC (b)(6)@va.gov>  
**Subject:** RE: VIEWS 04890714 - Grassley question

H (b)(6)

(b)(5); (b)(6)

(b)(6)

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**From:** (b)(6) (OGC) (b)(6)@va.gov>  
**Sent:** Thursday, April 29, 2021 6:00 PM  
**To:** (b)(6)@va.gov>  
**Subject:** RE: VIEWS 04890714 - Grassley question

(b)(5); (b)(6)

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**VA Response:** (b)(5)

(b)(5)

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(b)(5)

(b)(5)

Mike

MICHAEL R. HOGAN  
Deputy General Counsel, General Law  
Office of General Counsel  
Department of Veterans Affairs  
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Washington, DC 20420

(b)(6) (Direct)

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(b)(5)

Phil

Phillip W. Christy *EJD, CMRP, CFCM, PMP, FACHE*  
Deputy Principal Executive Director  
Office of Acquisition, Logistics & Construction  
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810 Vermont Ave NW, Washington, DC 20571

(b)(6) @va.gov

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**Front Office Operations (003B)**  
**Department of Veterans Affairs**  
**810 Vermont Avenue, NW**  
**Room 727R**  
**Washington, DC 20420**  
**Office:** (b)(6)  
**Mobile:** (b)(6)  
**Email:** (b)(6)@va.gov



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**VA Core Characteristics:** Trustworthy | Accessible | Quality | Innovative | Agile | Integrated

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VA Response: PENDING

(b)(6)

Executive Writer